

## 이원 이차형과 암호론

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### Binary Quadratic Forms and Cryptography

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#### 요 약

리듀스드 이원이차형을 사용한 키 교환법이 소개되었다. 이는 실이차체의 클래스군 위에서의 이산대수문제의 어려움을 이용한 것이다.

#### Abstract

The key exchange idea by using a reduced binary indefinite quadratic form has been introduced. This is based on the difficulty of solving the discrete logarithm problem on the class group of a real quadratic field.

#### 1. Introduction

Secret messages have been sent and used in military affairs and diplomacy for a long time. Furthermore, nowadays, because of the widespread usage of electronic communication such as electronic banking or electronic mail by computer, secrecy has become an important issue. Hence, there is a tremendous deal of interest in the techniques of making messages meaningless to everyone ex-

cept the intended receiver.

*Cryptography* is the study of methods of sending messages in disguised form so that only the intended recipients can remove the disguise and read the message, while *cryptanalysis* is aimed at breaking these systems. The message we want to send is called the *plaintext* and the disguised message is called the *ciphertext*. A *cipher* is a method for changing a plaintext into ciphertext using transformation  $f$ . The process of altering a plaintext to

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a ciphertext by using enciphering transformation is called *enciphering* or *encryption* and one needs an enciphering key  $k_E$ . The reverse process is called *deciphering* or *decryption*. In order to decipher, compute  $f^{-1}$ , one needs the deciphering key  $k_D$ . With a conventional cryptosystem anyone who knew enough to encipher a message could, with little effort, determine the deciphering key.

However, W. Diffie and M. Hellman<sup>6)</sup> discovered an entirely different type of cryptosystem and invented *public key cryptography*. A public key cryptosystem has the property that someone who knows only how to encipher cannot use the enciphering key to find the deciphering key without a prohibitively lengthy computation. In other words the enciphering function  $f$  is easy to compute once the enciphering key  $k_E$  is known, but it is very hard in practice to compute the inverse function  $f^{-1}$  without the deciphering key  $k_D$ , from the standpoint of realistic computability. Such a function is called an *one-way trapdoor function*. The most important public cryptographic problems are those of *privacy* and *authentication*. A privacy system is preventing an unauthorized extraction of information from communications over an insecure channel. An authentication system prevents an unauthorized injection of messages into a public channel, assuring the receiver of a message of the legitimacy of its sender.

It has been known that number theory plays a very important role in public key cryptography. The most famous applications of number theory to cryptography are in the RSA system<sup>7)</sup> and Diffie and Hellman's cryptosystem<sup>6)</sup>. The RSA system used the difficulty of prime factorization of a large number and Diffie and Hellman's cryptosystem used the difficulty of solving a discrete logarithm problem on a finite field. The purpose of this paper

is to introduce the key exchange idea by using a reduced binary indefinite quadratic form. This is based on the difficulty of solving the discrete logarithm problem on the class group of a real quadratic field.

## 2. Real quadratic field and Indefinite binary quadratic forms

Let  $F_i$  be the  $i$ th Fibonacci number, i.e.,  $F_0=0$ ,  $F_1=1$ , and  $F_{m+1}=F_m+F_{m-1}$ , where  $m \in \mathbb{N}$ . Let  $\mathbf{K}=\mathbb{Q}(\sqrt{F_{2m}^2+1})$  be the quadratic field formed by adjoining  $\sqrt{F_{2m}^2+1}$  to the rational  $\mathbb{Q}$ . We first review some of the properties of  $\mathbf{K}$ . The discriminant of a field  $\mathbf{K}$  is given by

$$\Delta = \begin{cases} 4(F_{2m}^2 + 1) & \text{if } m \equiv 0 \pmod{3} \\ F_{2m}^2 + 1 & \text{if } m \not\equiv 0 \pmod{3} \end{cases}$$

Also, if  $\alpha, \beta \in \mathbf{K}$ , we use  $\bar{\alpha}$  to denote the conjugate of  $\alpha$  in  $\mathbf{K}$ ,  $S(\alpha)=\alpha+\bar{\alpha}$  is the trace of  $\alpha$ ,  $N(\alpha)=\alpha\bar{\alpha}$  the norm of  $\alpha$ .

The integers of  $\mathbf{K}$  are those elements  $\alpha$  of  $\mathbf{K}$  such that both  $S(\alpha)$  and  $N(\alpha)$  are in  $\mathbb{Z}$ ; We denote the set of these integers by  $\Theta_{\mathbf{K}}$ . It is well known that  $\Theta_{\mathbf{K}}=[1, w]=\mathbb{Z}+w\mathbb{Z}$ , where

$$w = \begin{cases} \sqrt{F_{2m}^2 + 1} & \text{if } m \not\equiv 0 \pmod{3} \\ \frac{1 + \sqrt{F_{2m}^2 + 1}}{2} & \text{if } m \equiv 0 \pmod{3} \end{cases}$$

Let us denote an indefinite binary quadratic form with discriminant  $D=b^2-4ac>0$  as follows;

$$f(x, y) = ax^2 + bxy + cy^2 = f[a, b, c]$$

**Definition 2. 1** (1)  $f=[a, b, c]$  is said to be a reduced form if  $a>0, c>0, b>a+c$ , where  $a, b, c$  are rational integers. Furthermore, if  $\gcd(a, b, c)=1$ , we call  $f=[a, b, c]$  a primitive reduced form.

(2) Let  $f(x, y)=ax^2+bx+cy^2$  with discriminant  $D$  not a perfect square. If there exist integral  $p, q, r, s$  such that  $ps-qr=1$  with the following property :

$$x=px^*+qy^*, y=rx^*+sy^*,$$

then  $f(x, y)=f^*(x^*, y^*)$ . Then we say  $f$  is equivalent to  $f^*$ , and write  $f \approx f^*$  : or we say  $f$  and  $f^*$  are in the same  $\Gamma$ -equivalence class.

Let us state some well known theorems without proof.

**Theorem 2. 1** (1) There are only finitely many reduced quadratic forms of discriminant  $D$ , and each  $\Gamma$ -equivalence class  $E$  of forms of discriminant  $D$  contains at least one reduced form.

(2) Let us assume that the form  $f=[a, b, c]$  in a  $\Gamma$ -equivalence class  $E$  is a reduced form.

(Note.  $f=[a, b, c]$  is a reduced form,  $D=b^2-4ac$ .

$$\text{iff } w = \frac{b + \sqrt{D}}{2} > 1 \text{ and } 0 < \bar{w} < 1.)$$

The reduced forms in  $E$  form a cycle  $f_0=f, f_1, f_2, \dots, f_l=f_0$ , where each  $f_j$  is related to its predecessor by  $f_j=f_{j-1} \circ M_j$  with  $M_j = \begin{pmatrix} n_j & -1 \\ 1 & 0 \end{pmatrix}$  for some integer  $n_j \geq 2$ , and  $f \circ M$  is defined by  $f \circ M = f(\alpha x + \beta y, \gamma x + \delta y)$ , for  $M = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$  and the  $M_j = \begin{pmatrix} n_j & -1 \\ 1 & 0 \end{pmatrix}$  are determined by the minus continued fraction expansion of

$$w = \frac{b + \sqrt{D}}{2} = n_1 - \frac{1}{n_2 - \frac{1}{n_3 - \frac{1}{\dots - \frac{1}{n_l - \frac{1}{\dots}}}}}$$

$$= (n_1, n_2, n_3, \dots, n_l).$$

which is purely periodic, i. e,  $n_j=n_{j+l}$ , because  $f$  is reduced. Therefore, each reduced form  $f_0, f_1, f_2, \dots, f_l=f_0$  in  $E$  corresponds to a cycle

$$(n_1, n_2, n_3, \dots, n_l), (n_2, n_3, \dots, n_1),$$

$$(n_3, n_4, \dots, n_1, n_2), \dots$$

(Proof) See<sup>1)</sup>, for instance.

**Definition 2.2** (1) Let us give a dictionary order relation on the cycles in

$$\{(n_1, n_2, n_3, \dots, n_l), (n_2, n_3, \dots, n_1),$$

$$(n_3, n_4, \dots, n_1, n_2), \dots\}.$$

In other words,

$$(n_1, n_2, n_3, \dots, n_l) > (m_1, m_1, m_3, \dots, m_l)$$

by defining  $n_j > m_j$ , or if  $n_j = m_j$  and  $n_{j+1} > m_{j+1}$ , where  $1 \leq j \leq l$ . And let each reduced form in  $E$  corresponds to each cycle

$(n_1, n_2, n_3, \dots, n_l), (n_2, n_3, \dots, n_1),$   
 $(n_3, n_4, \dots, n_1, n_2), \dots, (n_l, n_1, \dots, n_{l-2}, n_{l-1}),$   
 and let  $f_i=f_0$ . We call the reduced form  $f$  the largest reduced form if  $f$  corresponds to the largest cycle among all the cycles which correspond to all the reduced forms in  $\Gamma$ -equivalence class.

(2) If two forms with discriminant equal to a field discriminant,  $f_1$  and  $f_2$ , then a form  $f_3$  with the same discriminant,

$$f_3(x_3, y_3) = f_1(x_1, y_1) f_2(x_2, y_2)$$

(ordinary multiplication) is defined by special bilinear expressions with integral coefficients  $A_i$  and  $B_i$  :

$$\begin{aligned}x_3 &= A_1x_1x_2 + A_2x_1y_2 + A_3x_2y_1 + A_4x_2y_2, \\y_3 &= B_1x_1x_2 + B_2x_1y_2 + B_3x_2y_1 + B_4x_2y_2.\end{aligned}$$

**Theorem 2. 2** (1) To compound  $f=[a, b, c]$  with itself, let  $n=\gcd(a, b)$ , and solve  $by/n \equiv 1 \pmod{a/n}$  for  $y$ . Then  $[a, b, c] \circ [a, b, c] \approx [a^2/n^2, b-2acy/n, *]$  with the third coefficient computed from the discriminant formula.

(2) To compound  $f_1=[a_1, b_1, c_1]$  and  $f_2=[a_2, b_2, c_2]$ , let  $\beta=(b_1+b_2)/2$ . Let  $m=\gcd(a_1, \beta)$ , and  $n=\gcd(m, a_2)$ . Solve  $a_1x + \beta y = m$  for  $x$  and  $y$  and

$$mz/n \equiv x\left(\frac{b_2-b_1}{2}\right) - cy \pmod{a_2/n} \text{ for } z.$$

The form compounded of  $f_1$  and  $f_2$  is then

$$[a_1a_2/n^2, b_1+2a_1z/n, *],$$

with the third coefficient being computed from the discriminant formula.

(Proof) See page 64-65<sup>1)</sup>.

**Remark** (1) For a given form  $f$  and integer  $x$ ,  $f^x$  can be computed by using the repeated squaring method, i. e., change  $x$  into a binary digit number and find the composition form  $f^x$  by using *Theorem 2. 2*. It involves only Euclidean algorithm and, therefore, takes a polynomial number of bit operations to compute  $f^x$ .

(2) The largest reduce form can be found by using the (minus) continued fraction. Once we find one

cycle which corresponds to a reduced form, it is easy to find the largest reduced form in one  $\Gamma$ -equivalence class by sorting all the reduced cycles in the class.

### 3. A key exchange system

In this section we describe a public key exchange system : a scheme by which two individuals A and B, who never meet, can still develop a secret key for communication over a public channel. The basic idea is due to that of Diffie and Hellman by using the *discrete logarithm* problem : Let A and B agree on some finite group  $G$  and some element  $g$  in  $G$ , both of which can be made public. A selects some positive integer  $a$  ( $< \text{ord}(G)$ ) at random, keeps it secret and transmits  $x=g^a$  to B. B selects some positive integer  $b$  ( $< \text{ord}(G)$ ) at random, keeps it secret and transmits  $y=g^b$  to A. A determines  $K=y^a$  and B determines  $K=x^b$  ;  $K$  is used as the secret communication key. If one could determine  $a$  or  $b$  from knowing  $x, y, g$  and  $G$ , one could compute  $K$ . The problem of determining  $a$ , given  $G, g$  and  $x$ , is called *the discrete logarithm problem* in  $G$ . In this section we will present a key exchange system when  $G$  is the class group of a real quadratic field  $Q(\sqrt{F_{2m}^2+1})$ , where  $F_{2m}$  is the  $2m^{\text{th}}$  Fibonacci number. This scheme is based on those of [2] and [6].

The real quadratic field  $Q(\sqrt{F_{2m}^2+1})$  and a binary indefinite quadratic form  $f=[a, b, c]$  whose discriminant is the same as the field discriminant (see<sup>4)</sup>) are publically known. The following steps are performed to exchange a secret key between two users A and B :

(1) A selects at random a large integer  $m$  and  $d$  and computes the largest reduced form  $f_1$  such that  $f_1 \approx f^d$  by using repeated squaring method and

an algorithm given in *Theorem 2. 2*.  $(m, f_1)$  is sent to B.

(2) B selects  $t$  at random and computes the largest reduced form  $f_2$  such that  $f_2 \approx f$  by using the repeated squaring method and an algorithm given in *Theorem 2. 2* and sends  $f_2$  to A.

(3) A computes the largest reduced form  $f^* \approx f_2^t$ . B computes the largest reduced form  $f^{**} \approx f_2^t$ . Since  $f^* \approx f_2^t \approx (f^t)^d = (f^t)^t \approx f_1 \approx f^{**}$ , we have the largest reduced form  $f^* = f^{**} = [a, b, c]$ ; this number  $[a, b, c]$  can be used as the secret key between A and B.

**Remark** we note that this key exchange system prevents a cryptanalyst from attacking all files simultaneously, by using a different  $m$  for each user. Even though an attacker has solved the discrete logarithm in the field  $\mathbb{Q}(\sqrt{F_{2m}^2+1})$  for a certain  $m$ , this does not solve it for other  $m$ .

#### 4. Complexity Result

We begin with the following remark.

**Remark** For given  $\alpha, \beta$  in  $\Theta_K$ , the ring of integers of  $K$ , we say that  $\alpha$  divides  $\beta$  and denote this by  $\alpha \mid \beta$  if there exists some  $\gamma$  in  $\Theta_K$  such that  $\beta = \alpha\gamma$ . If  $\eta \mid 1$ ,  $\eta$  is called a unit of  $K$ . It is known that there are infinitely many units in  $\Theta_K$ ; If  $\eta$  is one of them, then  $\eta$  can be written as  $\eta = \pm \varepsilon^n$ , where  $n$  integer and  $\varepsilon (> 1)$  is the fundamental unit of  $K$ .

**Lemma 4. 1** *The fundamental unit of  $\Theta_K$  can be found by considering the smallest integer  $T$  for which*

$$T^2 - DU^2 = \pm 4, \quad T > 0, \quad U > 0,$$

in the field  $K = \mathbb{Q}(\sqrt{D})$ . Then the fundamental unit of  $\Theta_K$  is  $\frac{T + U\sqrt{D}}{2}$  and most general unit is  $\pm \left[ \frac{T \pm U\sqrt{D}}{2} \right]^m$ .

(Proof) See page 101<sup>5)</sup>.

The regulator  $\log \varepsilon = R$  of  $K$  determines the number  $l$  of reduced forms in any  $\Gamma$ -equivalence class because  $l < (R+c)/\gamma$  (where  $\gamma = (1+\sqrt{5})/2$ ) for some constant  $c$ , i.e.,  $l = O(R)$ .

**Theorem 4. 1** *Let  $l$  be the number of a indefinite binary reduced quadratic form in  $\Gamma$  a equivalence class of the field  $\mathbb{Q}(\sqrt{F_{2m}^2+1})$ . Then  $l \approx O(2m)$ .*

(Proof) We note that the fundamental unit of  $\mathbb{Q}(\sqrt{F_{2m}^2+1})$  is  $(F_{2m} + \sqrt{F_{2m}^2+1})$ . Since we get

$$T^2 - U^2 = -4, \quad T = 2F_{2m}^2, \quad U = 1,$$

the fundamental unit  $\varepsilon = F_{2m} + \sqrt{F_{2m}^2+1}$ , from the Lemma 4. 1. So, the regulator  $R = \log \varepsilon = \log (F_{2m} + \sqrt{F_{2m}^2+1}) \approx \log 3 F_{2m}$ . Since  $\log F_{2m} \approx \log \left( \frac{1+\sqrt{5}}{2} \right)^{2m}$ ,  $l \approx 2m$ .

**Remark (1)** To get the largest reduced form  $f^*$  from given form  $f$  in the class  $E$  of  $\mathbb{Q}(\sqrt{F_{2m}^2+1})$ , we need to compute a cycle whose length is  $O(2m)$  which takes a polynomial bit operation of  $\Delta$ , i.e.,  $\log \Delta$ . So, it will take a polynomial bit operation for user A and B to get the common public key  $f^*$ .

(2) This system would be broken if we could solve the discrete logarithm problem in the class group  $G$  of  $\mathbb{Q}(\sqrt{F_{2m}^2+1})$ . This problem can be solved in sub-exponential time by the index calculus me-

thod if the class number  $h$  of  $\mathbb{Q}(\sqrt{F_{2m}^2 + 1})$  is known. However, the best algorithm known for determining  $h$  has the complexity  $O((\Delta)^{\frac{1}{2} + o(1)})$ , assuming the extended Riemann hypothesis<sup>5)</sup>.

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